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Our Ref: PT-2037001

June 11, 2004

**VIA COURIER**

The Commissioner of Patents  
U.S. Patent and Trademark Office  
220 20<sup>th</sup> Street S.  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia 22202

**Attention: Corrections Branch**

Dear Sirs:

**Re: United States Patent Application No. 10/785,009  
of 420820 Ontario Limited  
for SCREEN FRAME WITH INTEGRAL ROLL SCREEN COMPARTMENT AND  
IMPROVEMENTS THEREOF  
Filing Date: February 25, 2004  
Group Art Unit: 3634  
Customer No. 23607**

Pleased be advised that we found an error in the filing receipt which we received in respect of the above-identified application. In the filing receipt, a copy of which we are enclosing for your reference, the Assignee name under Assignment For Published Patent Application was incorrectly stated as "Ontario Limited".

We kindly ask that the filing receipt be corrected to read "420820 Ontario Limited". Attached for your information, please find a copy of the Recordation Form Cover Sheet and copy of the Assignment filed on February 25, 2004.

Also enclosed herewith is a stamped, self-addressed verification card which we request that you kindly acknowledge and return to this office at the earliest opportunity.

We thank the Commissioner for his co-operation in this regard, and look forward to receiving the corrected filing data in this matter.

Respectfully submitted,

Neil H. Hughes  
Registration No. 33,636  
Agent for Applicant

NHH/lvp  
Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/785,009	02/25/2004	3634	1799	PT-2037001	35	35	21

CONFIRMATION NO. 9728

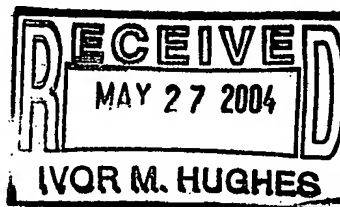
23607

IVOR M. HUGHES, BARRISTER & SOLICITOR,  
PATENT & TRADEMARK AGENTS  
175 COMMERCE VALLEY DRIVE WEST  
SUITE 200  
THORNHILL, ON L3T 7P6  
CANADA

## FILING RECEIPT



\*OC000000012702256\*



Date Mailed: 05/18/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shaul Goldenberg, Vaughan, ON, CANADA;  
Sean Davies, Vaughan, ON, CANADA;  
Sinnathamby Kupenthirarajan, Vaughan, ON, CANADA;

## Assignment For Published Patent Application

Ontario Limited;

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/115,084 04/04/2002 PAT 6,701,994

## Foreign Applications

CANADA 2,359,549 10/22/2001

If Required, Foreign Filing License Granted: 05/18/2004

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\*****Title**

Screen frame with integral roll screen compartment and improvements thereof

**Preliminary Class**

160

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

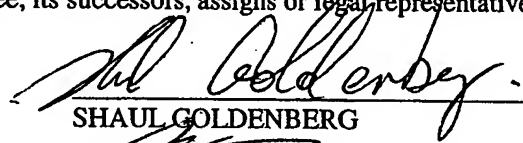
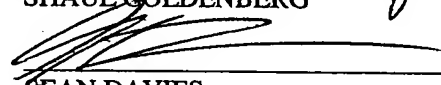
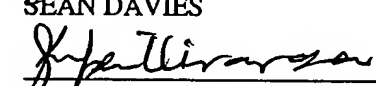
## Assignment

We, **SHAUL GOLDENBERG, SEAN DAVIES, and SINNATHAMBY KUPENTHIRARAJAN** whose full post office addresses are c/o 350 Creditstone Road, Unit 204, Vaughan, Ontario, L4K 3Z2, Canada; c/o 350 Creditstone Road, Unit 204, Vaughan, Ontario, L4K 3Z2, Canada; and c/o 350 Creditstone Road, Unit 204, Vaughan, Ontario, L4K 3Z2, Canada, respectively, in consideration of One Dollar and other good and valuable consideration, the receipt of which is hereby acknowledged, do hereby sell and assign to **420820 ONTARIO LIMITED** whose full post office address is 350 Creditstone Road, Unit 204, Vaughan, Ontario, L4K 3Z2, Canada, all the right, title and interest in the United States of America and worldwide, in and to our invention relating to **SCREEN FRAME WITH INTEGRAL ROLL SCREEN COMPARTMENT** as fully described and claimed in our application from which priority is claimed for a patent for such invention and all supplementary applications, continuation applications and divisional applications thereof and to all our corresponding right, title and interest in and to any patent which may issue therefor and any and all reissues thereof.

And we, on behalf of ourselves and our heirs, executors, representatives and administrators, hereby covenant and agree to do all such lawful acts and things and to execute without further consideration such further lawful assignments, documents, assurances, applications, and other instruments as may reasonably be required by said assignee, its successors, assigns or legal representatives, to obtain any and all Letters Patent of the United States of America for said invention and vest the same in said assignee, its successors, assigns or legal representatives.

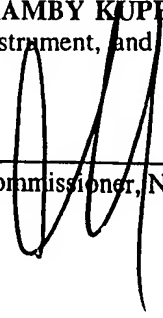
WITNESS our hands  
and seals at the  
City of Vaughan  
Province of Ontario  
this 26 th day of  
FEBRUARY, 2002

  
\_\_\_\_\_  
A Commissioner, Notary, etc.

)   
) SHAUL GOLDENBERG  
)   
) SEAN DAVIES  
)   
) SINNATHAMBY KUPENTHIRARAJAN

## Affidavit

On this 26<sup>th</sup> day of FEBRUARY, 2002 personally appeared before me **SHAUL GOLDENBERG, SEAN DAVIES, and SINNATHAMBY KUPENTHIRARAJAN** to me known to be the individuals described in the foregoing instrument, and who swore before me that they executed the same.

  
\_\_\_\_\_  
A Commissioner, Notary, etc.



COPY

Form PTO-158  
(Rev. 03/01)

OMB No. 0851-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Shaul Goldenberg  
Sean Davies  
Sinnathamby Kupenthirarajan

2. Name and address of receiving party(ies)

Name: 420820 Ontario Limited

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: 350 Creditstone RoadUnit 204City: Vaughan State: Ont. Zip: L4K 3Z2Execution Date: February 26, 2002Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 04/03/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ivor M. Hughes,Internal Address: Barrister & Solicitor,Patent & Trademark AgentsStreet Address: 175 Commerce Valley Dr. W.Suite 200City: Thornhill State: Ont. Zip: L3T 7P66. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Neil H. Hughes, P.Eng.  
Name of Person Signing  
SignatureApril 3, 2002  
DateTotal number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231